

10-14-03

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PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|                        |                   |
|------------------------|-------------------|
| Application Number     | 09/828,539        |
| Filing Date            | April 5, 2001     |
| Confirmation Number    | 9912              |
| First Named Inventor   | PREISSMAN, HOWARD |
| Group Art Unit         | 3738              |
| Examiner Name          | MILLER, CHERYL L. |
| Attorney Docket Number | PALX-002CON       |

Total Number of Pages in This Submission 58

## ENCLOSURES (check all that apply)

|   |   |  |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input checked="" type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Documents<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input checked="" type="checkbox"/> Brief on Appeal (Brief on Appeal) in Duplicate<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><b>POSTCARD</b> |
| Remarks   |   |  |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

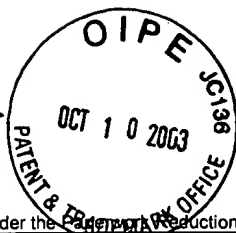
|                                   |  |
|-----------------------------------|--|
| Signing Attorney/Agent (Reg. No.) | FRANK P. BECKING, 42.309<br>BOZICEVIC, FIELD & FRANCIS LLP |
| Signature                         | <i>Frank P. Becking</i>                                    |
| Date                              | October 10, 2003   |

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 TECHNOLOGY CENTER R3700

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (10-01)  
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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

|                      |                   |
|----------------------|-------------------|
| Application Number   | 09/828,539        |
| Filing Date          | April 5, 2001     |
| Confirmation Number  | 9912              |
| First Named Inventor | PREISSMAN, HOWARD |
| Examiner Name        | MILLER, CHERYL L. |
| Group Art Unit       | 3738              |
| Attorney Docket No.  | PALX-002CON       |

TOTAL AMOUNT OF PAYMENT (\$ 640.00)

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit overpayments to:  
Deposit Account Number 50-0815  
Deposit Account Name Bozicevic, Field & Francis LLP  
☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☒ Applicant Claims small entity status. See 37 CFR 1.27

## 2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 2. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101            | 740             | 201            | 370             | Utility filing fee     |          |
| 106            | 330             | 206            | 165             | Design filing fee      |          |
| 107            | 510             | 207            | 255             | Plant filing fee       |          |
| 108            | 740             | 208            | 370             | Reissue filing fee     |          |
| 114            | 160             | 214            | 80              | Provisional filing fee |          |

SUBTOTAL (1)

### 1. EXTRA CLAIM FEES

|                    | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims       | = x          | 9.00 =         |          |
| Indep. Claims      | = x          | 42.00 =        |          |
| Multiple Dependent |              |                |          |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  |
|----------------|-----------------|----------------|-----------------|--|
| 103            | 18              | 203            | 9               | Claims in excess of 20                                     |
| 102            | 84              | 202            | 42              | Independent claims in excess of 3                          |
| 104            | 280             | 204            | 140             | Multiple dependent claim, if not paid                      |
| 109            | 84              | 209            | 42              | ** Reissue independent claims over original patent         |
| 110            | 18              | 210            | 9               | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$

\*\*or number previously paid, if greater; For Reissues, see above.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Fee Code            | Large Entity Fee (\$) | Fee Code        | Small Entity Fee (\$) | Fee Description  | Fee Paid |
|---------------------|-----------------------|-----------------|-----------------------|--|----------|
| 105                 | 130                   | 205             | 65                    | Surcharge - late filing fee or oath  |          |
| 127                 | 50                    | 227             | 25                    | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                 | 130                   | 139             | 130                   | Non-English specification  |          |
| 147                 | 2,520                 | 147             | 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112                 | 920*                  | 112             | 920*                  | Requesting publication of SIR prior to Examination action                  |          |
| 113                 | 1,840*                | 113             | 1,840*                | Requesting publication of SIR after Examiner action                        |          |
| 115                 | 110                   | 215             | 55                    | Extension for reply within first month                                     |          |
| 116                 | 400                   | 216             | 200                   | Extension for reply within second month                                    |          |
| 117                 | 920                   | 217             | 460                   | Extension for reply within third month                                     | 475.00   |
| 118                 | 1,440                 | 218             | 720                   | Extension for reply within fourth month                                    |          |
| 128                 | 1,960                 | 228             | 980                   | Extension for reply within fifth month                                     |          |
| 119                 | 320                   | 219             | 160                   | Notice of Appeal   |          |
| 120                 | 320                   | 220             | 160                   | Filing a brief in support of an appeal                                     |          |
| 121                 | 280                   | 221             | 140                   | Request for oral hearing   |          |
| 138                 | 1,510                 | 138             | 1,510                 | Petition to institute a public use proceeding                              |          |
| 140                 | 110                   | 240             | 55                    | Petition to revive - unavoidable   |          |
| 141                 | 1,280                 | 241             | 640                   | Petition to revive - unintentional   |          |
| 142                 | 1,280                 | 242             | 640                   | Utility issue fee (or reissue)   |          |
| 143                 | 460                   | 243             | 230                   | Design issue fee   |          |
| 144                 | 620                   | 244             | 310                   | Plant issue fee  |          |
| 122                 | 130                   | 122             | 130                   | Petitions to the Commissioner  |          |
| 123                 | 50                    | 123             | 50                    | Processing fee under 37 CFR 1.17(q)  |          |
| 126                 | 180                   | 126             | 180                   | Submission of Information Disclosure Stmt                                  |          |
| 581                 | 40                    | 581             | 40                    | Recording each patent assignment per property (times number of properties) |          |
| 146                 | 740                   | 246             | 370                   | For each additional invention to be examined (37 CFR § 1.129(a))           |          |
| 149                 | 740                   | 249             | 370                   | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                 | 740                   | 279             | 370                   | Request for Continued Examination (RCE)                                    |          |
| 169                 | 900                   | 169             | 900                   | Request for expedited examination of a design application                  |          |
| Other fee (specify) | 1.17(c)               | Brief on Appeal |                       |  | 165.00   |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 165.00)

## SUBMITTED BY

## Complete (if applicable)

|                   |                  |                                   |            |           |                |
|-------------------|------------------|-----------------------------------|------------|-----------|----------------|
| Name (Print/Type) | Frank P. Becking | Registration No. (Attorney/Agent) | 42,309     | Telephone | (650) 327-3400 |
| Signature         |                  | Date                              | 10/10/2003 |           |                |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.